#32

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPEAL NO.

2004-0255

Before:

APJ BARRETT, LEVY, RUGGIERO

Application No.:

09/045,518

Appellant:

Van Luchene, Andrew S.

For:

METHOD AND APPARATUS FOR PROCESSING A SUPPLEMENT

PRODUCT SALE AT A POINT-OF-SALE TERMINAL

NEW GROUNDS OF REJECTION RAISED AT ORAL HEARING

At the oral hearing on May 6, 2004, a new ground of rejection was discussed, involving

(i) an interpretation of Eleftheriou that was not previously applied by the Examiner, as well as

(ii) motivations to combine / modify not previously asserted by the Examiner.

If applied as the basis for a Section 103(a) rejection, such rejection would be a new rejection since Appellant had no previous opportunity to address the merits on the record.

Specifically, a hypothetical was raised in which

- (i) the system of <u>Eleftheriou</u> would be modified to receive a rounding code (embodied as a software instruction) by receiving a reprogramming instruction;
  - (ii) this <u>Eleftheriou</u> system would round the maximum amount (not the purchase price) that is split from the purchase price and transferred to / from the "container" (*Contra* Examiner's Answer, p. 5);
  - (iii) <u>Fiorini's</u> rounding of a purchase price to the nearest nickel would be combined with <u>Eleftheriou's</u> rounding of the maximum amount that is split from the purchase price (*Contra* Examiner's Answer, p. 5); and
  - (iv) the motivation for such combination / modification would be to generally round purchase prices more flexibly (Contra Examiner's Answer, p. 6).

Respectfully submitted,

May 11, 2004

Dean Alderucci

Attorney for Appellants Registration No. 40,484 Telephone: (203) 461.7000 Facsimile: (203) 461.7300

## Walker Digital Management, LLC

o:			OF PATENT APPE ERENCES - USPTO		Veronika S	. Leliever			
ax	! 	703.30	8.7952	Pages	s: 2				
ho	ne:	703.30	8.9797	Date:	May 11, 20	004			
le:	· \h	Appeal	No. 2004-0255	Phone	e: 203.461.70	)35	topic concerns a second of		
	Хu	irgent	X For Review	☐ Please Comment	☐ Please I	Reply	☐ Please Recyc	le E	NIE
•	• Cc	mment	s:						界景
	Re:	Apr	oeal No. 2004-0255					皇	NTERFERENCES
	CERT	TIFICATE	OF TRANSMISSION						ı
	Then	hv cortifu	that this comesnandence is	haine Transmitted					

to the Board of Patent Appeals and Interferences, United States Patent and Trademarks Office by facsimile (Fax No. 703.308.7952)

on May 11, 2004.

Dated: May 11, 2004 By:

Veronika S. Leliever

This facsimile is confidential and may contain legally privileged information. If you are not the intended recipient, you are hereby notified that any use, disclosure, copying or dissemination of this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by collect telephone or facsimile and return the original to us by mail. Thank you.